



ENLIGHTENED WEALTH MANAGEMENT

FLORENCE CAPITAL ADVISORS delivers highly attentive service, as well as a unique – and now growing – selection of solutions that seeks to preserve capital in challenging markets, create wealth when opportunities arise and help our clients achieve their financial objectives.

Why have you launched the firm?

To ensure that our valued clients are always provided with the highest levels of service and performance. As an independent firm, Florence Capital Advisors is at liberty to source the most innovative investment products and services available in strict alignment with our clients' needs. Without constraints imposed by corporate mandates, policies or limitations, the firm can nimbly tap an expanded array of investment offerings and leverage the most progressive intellectual capital, thus assisting our clients in achieving their financial objectives. Technological advancements and an open architecture platform mean that an advisor need not be tied to one financial firm in order to be resource-rich and operationally supported.

What are the benefits of the independent model?

The move to independence is predicated on assuring clients the genuine objectivity of advice and direct access to a comprehensive platform of offerings from leading financial services providers. Florence Capital Advisors has entered into strategic partnerships that afford us complete access to leading global products and services. This includes advantageous and flexible lending solutions, risk mitigation techniques, estate planning strategies and transparent pricing, ultimately putting our clients first.

INDEPENDENCE fosters UNCONDITIONAL OBJECTIVITY, COLLABORATION and TRUST.

How will this change affect the financial services you offer?

Being independent equips us to capitalize on a wider scope of best-in-class products and services from around the world. It allows increased focus on unique investment opportunities in the areas of tax-efficient investing, private equity, direct and co-investing as well as strategic planning for clients seeking to source capital and for those wishing to monetize assets. As your fiduciary, we are obligated to align our advice and strategies with your objectives and goals.

Where will my assets be held?

As an independent investment adviser, Florence Capital Advisors will not be holding your assets. We have selected Pershing Advisor Solutions LLC as the custodian. Pershing's state-of-the-art technology, a highly reliable and scalable infrastructure, host of innovative products and services and high-touch dedicated client model make it a compelling partner for us and outstanding custodian for your assets. In addition to streamlined reporting services, Pershing offers clients a full global custody platform that includes such services as online multicurrency reporting, portfolio accounting and proxy services. It is an affiliate of Pershing LLC, the industry's largest clearing provider, whose parent, BNY Mellon, is the largest custodian in the world with \$28.5 trillion in assets under custody and/or administration (as of March 31, 2015).

Does the custodian offer banking and cash management services?

Pershing offers account and reporting services such as direct deposit, online bill pay, customized operational alerts, instant online access to detailed account information and online cash management. In addition, our custodian offers in-depth reporting that includes cost-basis recordkeeping, realized gain or loss data, electronic delivery of statements, trade confirmations and tax reports. You have full access to all of these services when you open an account with the custodian.

A new firm with HEIGHTENED CAPABILITIES, BROADER ACCESS TO UNIQUE and GROWING INVESTMENT OPPORTUNITIES and STEADFAST DEDICATION TO OUR CLIENTS.

Will there be regulatory oversight of my assets?

Absolutely. Florence Capital Advisors is registered with the SEC and subject to strict rules regarding its fiduciary responsibility. Our firm follows the most prudent investor practices and represents your best interests at all times.

How does an independent firm provide comprehensive services?

As an independent firm, Florence Capital Advisors has the freedom and ability to choose any strategic partner organizations we feel meet your needs and facilitate its management most ideally. We have chosen the best partners and will leverage their expertise and product base to deliver an elite client experience.

Who is managing operations while you're focused on serving clients?

Florence Capital Advisors has selected Dynasty Financial Partners to automate many of the back-office administrative processes so we can focus on delivering the high-touch, boutique service you have come to expect.

Who is Dynasty Financial Partners?

Dynasty Financial Partners is the premier integrated platform provider for the wealth management industry's top independent advisors. We chose Dynasty because its established leaders and innovators have assembled the operational resources, technological solutions and broad selection of products and services needed to deliver a seamless experience to our clients. As a network of independent investment firms, Dynasty leverages its collective size to secure advantageous pricing and execution. Moreover, Dynasty allows us to preserve our autonomy, flexibility and entrepreneurial vision.



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For additional information about Florence Capital Advisors, including fees and services, send for our disclosure statement as set forth on Form ADV from Florence Capital Advisors using the contact information herein. Please read the disclosure statement carefully before you invest or send money.